

# Strichartz estimates for the Schrödinger equation on negatively curved compact manifolds.

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# Setting

Compact boundaryless Riemannian manifold  $(M^d, g)$  of dimension  $d \geq 2$ .

The associated Laplace-Beltrami Operator,  $\Delta_g$ , of the form

$$|g|^{1/2} \sum_{j,k=1}^d \partial_j (|g|^{1/2} g^{jk}(x)) \partial_k, \quad |g| = \det g_{jk}(x), \quad (g^{jk}) = (g_{jk})^{-1}.$$

The Laplace-Beltrami Operator is **self-adjoint**. Additionally, the spectrum of  $-\Delta_g$  is **discrete**. We often denote  $0 = \lambda_0^2 < \lambda_1^2 \leq \lambda_2^2 \leq \lambda_3^2 \leq \dots$  for the ordered sequence of eigenvalues, repeated according to multiplicity.

# Setting

Let

$$u(x, t) = (e^{-it\Delta_g} u_0)(x)$$

be the solution of the Schrödinger equation on  $M^d \times \mathbb{R}$ ,

$$i\partial_t u(x, t) = \Delta_g u(x, t), \quad u(x, 0) = u_0(x).$$

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- Interested in the space time estimate  $\|u\|_{L_t^p L_x^q(M^d \times [0,1])}$ , where

$$\|u\|_{L_t^p L_x^q(M^d \times [0,1])} = \left( \int_0^1 \|u(\cdot, t)\|_{L_x^q(M^d)}^p dt \right)^{1/p}.$$

# The Euclidean case

In  $\mathbb{R}^d$ ,

$$\begin{aligned}u(x) &= (e^{-it\Delta} u_0)(x) = \int e^{2\pi i \langle x-y, \xi \rangle} e^{it|\xi|^2} \widehat{u_0}(\xi) d\xi \\ &= \frac{1}{(4\pi it)^{d/2}} \int e^{-i \frac{|x-y|^2}{4t}} u_0(y) dy\end{aligned}$$

We have the mixed-norm Strichartz estimates

$$\|u\|_{L_t^p L_x^q(\mathbb{R}^d \times \mathbb{R})} \lesssim \|u_0\|_{L^2(\mathbb{R}^d)}$$

for all *admissible* pairs  $(p, q)$  satisfying

$$d\left(\frac{1}{2} - \frac{1}{q}\right) = \frac{2}{p} \text{ and } 2 < q \leq \frac{2d}{d-2} \text{ if } d \geq 3, \text{ or } 2 < q < \infty \text{ if } d = 2.$$

Strichartz 1977, Ginibre–Velo 1992, Keel–Tao 1998

# Dispersive estimate

The Strichartz estimate in  $\mathbb{R}^d$  follows from

$$\|u(\cdot, t)\|_{L^\infty} \leq \frac{C}{t^{d/2}} \|u_0\|_{L^1}, \text{ the dispersive estimate}$$

as well as

$$\|u(\cdot, t)\|_{L^2} = \|u_0\|_{L^2}$$

- On compact manifolds we do not have the dispersive estimate, even for small time  $t$ , e.g., by letting  $u_0 = e_\lambda$  with  $\lambda \rightarrow \infty$ .

## Strichartz estimate on compact manifold

Burq, Gérard and Tzvetkov 2004: Let  $M^d$  be a  $d \geq 2$  dimensional compact manifold. Then for all *admissible* pairs  $(p, q)$ ,

$$\|u\|_{L_t^p L_x^q(M^d \times [0,1])} \lesssim \|u_0\|_{H^{1/p}(M^d)}.$$

- The *admissible* pairs  $(p, q)$  are the same as in the Euclidean space
- $H^\mu$  denotes the standard Sobolev space

$$\|f\|_{H^\mu(M^d)} = \|(I + P)^\mu f\|_{L^2(M^d)}, \quad \text{with } P = \sqrt{-\Delta_g},$$

- On compact manifold, one can not replace  $[0, 1]$  by  $\mathbb{R}$  by letting

$$u(x, t) = e^{it\lambda^2} e_\lambda(x)$$

where

$$-\Delta_g e_\lambda = \lambda^2 e_\lambda,$$

is an eigenfunction of the the Laplacian.

- For the endpoint Strichartz estimates where  $p = 2$  and  $q = \frac{2d}{d-2}$  with  $d \geq 3$ , the  $1/2$  derivative loss in the Strichartz estimate is sharp by letting  $u = Z_\lambda$ , the zonal eigenfunctions on  $S^d$  with eigenvalue  $\lambda = (k(k + d - 1))^{1/2}$ ,  $k = 1, 2, \dots$ , since

$$\|Z_\lambda\|_{L^{\frac{2d}{d-2}}(S^d)} / \|Z_\lambda\|_{L^2(S^d)} \approx \lambda^{1/2}$$



- Can we improve the Strichartz estimate for non-endpoint pairs  $(p, q)$ ?
- For the endpoint pair  $p = 2$  and  $q = \frac{2d}{d-2}$ , can we get an improvement under certain geometric assumptions?

## Theorem 1 (Huang–Sogge 2024).

Let  $M^d$  be a  $d \geq 2$  dimensional compact manifold all of whose sectional curvatures are nonpositive. Then for all admissible pairs  $(p, q)$ ,

$$\|u\|_{L_t^p L_x^q(M^d \times [0,1])} \lesssim \|(I + P)^{1/p} (\log(2I + P))^{-\frac{1}{p}} f\|_{L^2(M^d)}.$$

# Main ideas

The results of [Burq, Gérard and Tzvetkov 2004](#) from the uniform bounds

$$\|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p L_x^q(M^d \times [0,1])} \leq C \lambda^{\frac{1}{p}} \|f\|_{L^2(M^d)}, \quad \lambda \gg 1.$$

The authors proved this estimate by showing that one always has the following uniform dyadic estimates over very small intervals:

$$\|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p L_x^q(M^d \times [0, \lambda^{-1}])} \leq C \|f\|_{L^2(M^d)}, \quad \lambda \gg 1.$$

- This implies the above estimates by dividing the interval  $[0,1]$  into  $\approx \lambda$  many smaller intervals of size  $\lambda^{-1}$ ,  $[j\lambda^{-1}, (j+1)\lambda^{-1}]$ , and use the fact that  $e^{-i \cdot j \lambda^{-1} \Delta_g}$  is unitary on  $L^2$ .

# Main ideas

When  $t \leq \lambda^{-1}$ , Burq, Gérard and Tzvetkov showed the following dispersive type estimate

$$\|e^{-it\Delta_g}\beta(P/\lambda)f\|_{L_x^\infty(M^d)} \leq C|t|^{-d/2} \|f\|_{L^1(M^d)}, \quad |t| \leq \lambda^{-1}.$$

- This was proved using parametrix construction, when  $t \leq \lambda^{-1}$ ,  $e^{-it\Delta_g}\beta(P/\lambda)$  behaves like the half wave operator  $e^{it'\sqrt{-\Delta_g}}\beta(P/\lambda)$  with  $t' \leq 1$

# Main ideas

If  $t' = \lambda t \lesssim 1$ , by using WKB approximation or Hadamard parametrix, we have

$$e^{it'\lambda^{-1}\Delta_\varepsilon}(x, y) \approx \int e^{i\langle x-y, \xi \rangle} e^{it'\lambda^{-1}|\xi|^2} \beta(|\xi|/\lambda) d\xi$$

- This implies the decay estimates at scale  $t' \lesssim 1$  by stationary phase argument.

# Main ideas

In an ongoing work with Sogge, for manifolds with non-positive curvature, we obtain

$$\|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p L_x^q(M^d \times [0, \lambda^{-1} \log \lambda])} \leq C \|f\|_{L^2(M^d)}, \quad \lambda \gg 1.$$

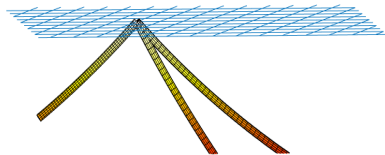
- This implies the results in the theorem by adding up the disjoint intervals.
- Unlike the general case, we do not have analogous dispersive estimate at scale  $t \leq \lambda^{-1} \log \lambda$

# Main ideas

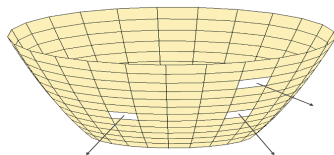
$$\begin{aligned} & \|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p L_x^q(M^d \times [0, \lambda^{-1} \log \lambda])} \\ & \lesssim \|A_\nu^\theta e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p \ell_\nu^q L_x^q(M^d \times [0, \lambda^{-1} \log \lambda])} + \text{good error.} \end{aligned}$$

- The  $A_\nu^\theta$  operators involves localizations in both space and frequency, as an analog of the wave packet in  $\mathbb{R}^d$ .
- If one view  $\ell_\nu^q L^q$  norm as  $L^q$  norm on some Banach space  $X$ , the dispersive estimate holds on the new space  $X$ , due to the extra directional localization.
- This is proved using bilinear oscillatory integral estimate of [Lee 2006](#).

# Main ideas



The Schrödinger tubes



The Euclidean case



# The flat tori

On the torus, or more generally flat manifold,

$$\|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^p L_x^q(M^d \times [0, \lambda^{-1+\delta_d}])} \leq C \|f\|_{L^2(M^d)}, \quad \lambda \gg 1,$$

for some small  $\delta_d$  depend on the dimension.

For the standard torus  $\mathbb{T}^d = \mathbb{R}^d / \mathbb{Z}^d$  and  $q = \frac{2(d+2)}{d}$ , [Bourgain Demeter 2014](#).

$$\|e^{-it\Delta_g} \beta(P/\lambda) f\|_{L_t^q L_x^q(\mathbb{T}^d \times [0,1])} \leq C_\varepsilon \lambda^\varepsilon \|f\|_{L^2(\mathbb{T}^d)}, \quad \text{for arbitrary small } \varepsilon.$$

- When  $d = 2$ ,  $\lambda^\varepsilon$  loss is improved to  $(\log \lambda)^{\frac{1}{4}}$  recently by [Herr Kwak 2023](#).
- For irrational tori,  $[0,1]$  can be replaced by  $[0, T]$  and the dependence of  $C_\varepsilon$  on the constant  $T$  has been studied [Deng, Germain Guth 2017](#).

# The standard round sphere

On the round sphere  $S^d$ , it is possible to improve the Strichartz estimate for non-endpoint pairs  $(p, q)$  using the arithmetic properties of the spectrum.

Burq, Gérard and Tzvetkov 2004

$$\|e^{-it\Delta_g}\beta(P/\lambda)f\|_{L_t^4L_x^4(S^d\times[0,1])} \leq C\lambda^{s(d)}\|f\|_{L^2(S^d)}, \quad \lambda \gg 1,$$

$s(d) = \frac{d}{4} - \frac{1}{2}$  for  $d \geq 3$ , and  $s(d) = \frac{1}{8}$ , for  $d = 2$ .

- This is sharp in all dimensions by using spherical harmonics as test functions.
- The result also holds on Zoll manifolds, which share similar spectrum properties with  $S^d$ .

# The standard round sphere

On the round sphere  $S^d$ ,

$$e^{-it\Delta_g} \beta(P/\lambda) f = \sum_k e^{itk(k+d-1)} \beta(|k|/\lambda) e_k(x),$$

Thus the Strichartz estimate is related to

$$\left\| \sum_{j \in \mathbb{Z}: |j| \approx \lambda} a_j e^{i \cdot j^2 \theta} \right\|_{L^q(S^1)} \leq C_\varepsilon \lambda^{2(\frac{1}{4} - \frac{1}{q}) + \varepsilon} \|a_j\|_{\ell^2}.$$

- For  $q = 4$ , this is related to the lattice points on a circle, i.e.,  $j_1^2 + j_2^2 = \lambda^2$ .

Thank you for your time!